SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

OMB APPROVAL

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Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

			ompany	,	, 1010									
Reporting P	d Address of Person and Michael J	2. Issuer Name a Trading Symbol GENERAL ELECTRIC		(Ch	eck a Dire	onship of Repo Ill applicable) ector cer (give title b	to Issuer 10% Owner Other (specify below)							
(Last) GENERA COMPA	(First) (Mic	3. Date of Earlie (Month/Day/Yea 05/22/2023		iction		Senior Vice President								
	NANCIAL CEN	4. If Amendment Filed (Month/Da	Orig		Individual or Joint/Group Filing (Check Applicable Line) X Form filed by One Reporting Person Form filed by More than One Reporting Person									
(Street) BOSTON	N MA	Check this b	Rule 10b5-1(c) Transaction Indication Check this box to indicate that a transaction was made pursuant to a contract, instruction or written pladefense conditions of Rule 10b5-1(c). See Instruction 10.											
(City)	(State)	defense cor												
Ta	able I - Non-D	erivative Sec	urities	Ac	quired,	Dis	posed of,	or Beneficia	ally Owne	d				
1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Yea r)	2A. Deemed Execution Date, if any (Month/Day/Yea	Transactio		4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned	6. Ownershi p Form: Direct (D)	7. Nature of Indirec Beneficia Ownershi				
		r)	Code	v	Amoun t	(A) or (D)	Price	Following Reported Transaction(s) (Instr. 3 and 4)	or Indirect (I) (Instr. 4)	p (Instr. 4				
Commo n Stock	05/22/2023		M		35,56 6	A	\$0	94,427	D					
Commo n Stock	05/22/2023		M		34,40	A	\$0	128,829	D					

	Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)														
1. Title of Deriv ative Secur ity (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transacti on Date (Month/Da y/Year)	3A. Deemed Execution Date, if any (Month/Da y/Year)	4. Trans tion Code (Instr. 8)	5. Numbe r of Derivati			6. Date Exercisable and Expiration Date (Month/Day/Ye ar)		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Deriv ative Secur ity (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transact	10. Owner ship Form: Direct (D) or Indire ct (I) (Instr. 4)	11. Natur e of Indire ct Benefi cial Owner ship (Instr. 4)
				Cod e	v	(A)	(D)	Date Exerci sable	Expir ation Date	Title	Amo unt or Num ber of Shar es		ion(s) (Instr. 4)		
Emplo yee Stock Optio n (right to buy)	\$66.59	05/22/2023		М			35, 566	(2)	03/19/2029	Com mon Stoc k	35, 566	\$0	0	D	
Emplo yee Stock Optio n (right to buy)	\$73.25	05/22/2023		М			34, 402	(2)	03/02/2030	Com mon Stoc k	34, 402	\$0	0	D	

Explanation of Responses:

2. The Employee Stock Option became exercisable in two equal installments, each on the second and third anniversary of the grant date

Remarks:

/s/ Brandon Smith, attorney in fact for Michael J. Holston

05/24/2023

** Signature of Reporting Person

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- * If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a). Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

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^{1.} The price reported is a weighted average price. These shares were purchased in multiple transactions ranging from \$104.18 to \$104.97, inclusive, in order to cover the option exercise price and relevant taxes. The Reporting Person undertakes to provide to the Company, any security holder of the Company, or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares purchased at each separate price within the range.