

SEC Form 4

FORM 4

UNITED STATES
SECURITIES AND
EXCHANGE
COMMISSION
Washington, D.C. 20549

OMB APPROVAL	
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☐ Check this box if no longer subject to
Section 16. Form 4 or Form 5
obligations may
continue. See Instruction 1(b).

STATEMENT OF
CHANGES IN
BENEFICIAL
OWNERSHIP

Filed pursuant to Section 16(a) of the
Securities Exchange Act of 1934
or Section 30(h) of the Investment
Company Act of 1940

1. Name and Address of Reporting Person <u>Strazik Scott</u>		2. Issuer Name and Ticker or Trading Symbol <u>GENERAL ELECTRIC</u> <u>CO [GE]</u>		5. Relationship of Reporting Person(s) to Issuer (Check all applicable) Director 10% Owner <input checked="" type="checkbox"/> Officer (give title below) Other (specify below) <u>Senior Vice President</u>	
(Last)	(First)	(Middle)	3. Date of Earliest Transaction (Month/Day/Year) <u>05/10/2023</u>		6. Individual or Joint/Group Filing (Check Applicable Line) <input checked="" type="checkbox"/> Form filed by One Reporting Person Form filed by More than One Reporting Person
GENERAL ELECTRIC COMPANY 5 NECCO STREET			4. If Amendment, Date of Original Filed (Month/Day/Year)		
(Street) <u>BOSTON MA</u>			Rule 10b5-1(c) Transaction Indication		
(City) (State)			<input type="checkbox"/> Check this box to indicate that a transaction was made pursuant to a contract, instruction or written plan that conditions of Rule 10b5-1(c). See Instruction 10.		

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)		4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V	Amount	(A) or (D)	Price			
Common Stock	05/10/2023		M		31,848	A	\$0	52,301	D	
Common Stock	05/10/2023		M		19,902	A	\$0	72,203	D	
Common Stock	05/10/2023		M		76,731	A	\$0	148,934	D	
Common Stock	05/10/2023		M		29,746	A	\$0	178,680	D	
Common Stock	05/10/2023		M		9,334	A	\$0	188,014	D	
Common Stock	05/10/2023		M		41,908	A	\$0	229,922	D	

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			Code	V	Amount	(A) or (D)	Price			
Common Stock	05/10/2023		S		173,873	D	\$99.56 ⁽¹⁾	56,049	D	
Common Stock								9,580	I	401(k)
Common Stock								5,239	I	Spouse's 401(k)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)		5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	V		Date Exercisable	Expiration Date	Title	Amount or Number of Shares				
Employee Stock Option (right to buy)	\$88.41	05/10/2023		M		31,848	(2)	03/19/2028	Common Stock	31,848	\$0	0	D	
Employee Stock Option (right to buy)	\$88.41	05/10/2023		M		19,902	(2)	03/19/2028	Common Stock	19,902	\$0	0	D	
Employee Stock Option (right to buy)	\$46.59	05/10/2023		M		76,731	(3)	12/21/2028	Common Stock	76,731	\$0	0	D	
Employee Stock Option (right to buy)	\$66.59	05/10/2023		M		29,746	(3)	03/19/2029	Common Stock	29,746	\$0	0	D	

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)															
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)		5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Cod e	V	(A) (D)		Date Exerci sable	Expir ation Date	Title	Amo unt or Num ber of Shar es				
to buy)															
Employee Stock Option (right to buy)	\$59.6	05/10/2023		M		9,334	(3)	04/11/2029	Common Stock	9,334	\$0	0	D		
Employee Stock Option (right to buy)	\$73.25	05/10/2023		M		41,908	(3)	03/02/2030	Common Stock	41,908	\$0	0	D		

Explanation of Responses:

1. The price reported is a weighted average price. These shares were sold in multiple transactions ranging from \$99.27 to \$99.85, inclusive, in order to cover the option exercise price and relevant taxes. The Reporting Person undertakes to provide to the Company, any security holder of the Company, or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares purchased at each separate price within the range.

2. The Employee Stock Options became exercisable in three equal installments, each on the first, second and third anniversary of the grant date.

3. The Employee Stock Options became exercisable in two equal installments, each on the second and third anniversary of the grant date.

Remarks:

/s/ Brandon Smith, attorney in fact for Scott Strazik

05/12/2023

** Signature of Reporting Person

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

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